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202-861-3900

Telephone

43,806

UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))

Signature

Name

Raymond Millien

EAX-0001-US Attorney Docket No.

First Inventor or Application Identifier John LICCIONE

SYSTEM AND METHOD FOR APPLICATION MONITORING AND AUTOMATIC DISASTER RECOVERY FOR HIGH-AVAILABILITY

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents	Assistant Commissioner for Patents ADDRESS TO: Box Patent Application PO Box 1450 Alexandria, VA 22313-1450									
Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing)	ACCOMPANYING DOCUMENTS									
Applicant claims small entity status.	6. ☐ Assignment Papers (cover sheet & document(s))									
2. ■ Specification Total Pages 44	7. 🗆 37 C.F.R. §3.73(b) Statement 🛭 Power of Attorney									
	8. □ English Translation Document (if applicable)									
3. Drawing(s) (35 U.S.C. 113) Total Sheets 16	9. Information Disclosure Statement (IDS)/PTO-1449 Citations									
	10. □ Preliminary Amendment									
4. □ Oath or Declaration Total Pages	11. White Advance Serial No. Postcard									
 a.	12. □ Certified Copy of Priority Document(s) (if foreign priority is claimed)									
(IO) CONTINUATIONAL WITH BOX 10 COMPLETCA	13. □ Request for Priority									
5. Incorporation By Reference (usable if box 4B is checked)	14. List of Inventors' Names and Addresses									
5. Incorporation By Reference (usable if box 4B is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.	15. □ Other:									
16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below: ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no.: Prior application information: Examiner: Group Art Unit:										
17. Amend the specification by inserting before the first line the sentence:										
☐ This application is a ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) of application Serial No. Filed on										
■ This application claims priority of provisional application Serial No. 60/408,873, Filed September 9, 2002.										
18. CORRESPONDENCE ADDRESS										
Supervisor, Patent Prosecution Services PIPER RUDNICK LLP 1200 Nineteenth Street, N.W. Washington, D.C. 20036-2412 Telephone No. (202) 861-3900 Facsimile No. (202) 223-2085										
Name Steven B/ Kelber/ / ///	Registration No. 30,073									

Date

Registration No.

	<u> </u>																
8	22 22 24										Docket No. EAX-			X-0001	-0001-US		
5		FEE TRANSMITTAL								Serial No.			New Application				
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ω	שי										hn LICCIONE, et al.						
	= 7											roup Art Unit					
TOTAL AMOUNT OF PAYMENT \$485.00 E										Ex	aminer						
Applicant claims small entity status.									FEE CALCULATION (continued)								
Charge any <u>UNDERPAYMENT</u> or credit any <u>OVERPAYMENT</u> in the indicated fees to Deposit Account No. 50-1442.								3. ADDITIONAL FEES									
□ Charge the indicated fees to Deposit Account No. 50-1442.									Large Entity Small Entity			Entity	Fee Description				
2. ■ Check enclosed.									Fee Code	Fee (\$)	Fee Code	Fee (\$)		Fee Paid			
FEE CALCULATION								1051	130	2051	65	Surcharge-late filing fee or oath	65.00				
1. BASIC FILING FEE								1052	50	2052	25	Surcharge-late provisional filing fee or cover sheet					
Large E	Entity	Smal	Entity	ntity Fee Descriptio							1053	130	1053	130	Non-English specification		
Fee Code	Fee (\$)	Fee Code	Fee (\$)						Fee Paid		1812	2520	1812	2520	Ex parte reexam. fee		
1001	750	2001	375	Ut	Utility filing fee			T	375.00		1251	110	2251	55	1-mo. ext. of time		
1002	330	2002	165	De	Design filing fee						1252	410	2252	205	2-mo. ext. of time		
1003	520	2003	260	Pi	Plant filing fee						1253	930	2253	465	3-mo. ext. of time		
1004	750	2004	375	Re	Reissue filing fee						1254	1450	2254	725	4-mo. ext. of time		
1005	160	2005	80	Pr	Provisional filing fee						1255	1970	2255	985	5-mo. ext. of time		
SUBTOTAL (1) \$375.00								٥	1401	320	2401	160	Notice of Appeal				
2. E	XTRA	CLAIM FI	EES								1402	320	2402	160	Appeal Brief		
tot. cla	ims	25	- 20*	<u> </u>	5	x	\$9	=	4	5	1403	280	2403	140	Request for Oral Hearing		
ind. cla	aims	3	- 3*	╧	0	×	\$42	=		0	1501	1300	2501	650	Utility/Reissue Issue Fee		
<u> </u>	Multip	le Depen	dent Clair	ns			\$140	Ξ	1	4	1502	470	2502	235	Design Issue Fee		
Large I	Entity	Small	l Entity	Fe	e Descripti	on				\perp	1503	630	2503	315	Plant Issue Fee		
Fee Code	Fee (\$)	Fee Code	Fee (\$)	L						1460	130	1460	130	Petitions to the Commissioner			
1202	18	2202	9	Cla	Claims in excess of 20						1806	180	1806	180	IDS Submission		
1201	84	2201	42	Ind	Independent claims in excess of 3						8021	40	8021	40	Assignment		
1203	280	2203	140	Mu	Multiple dependent claim, if not paid						1801	750	2801	375	For Filing RCE		
1204	84	2204	42	*Re	*Reissue independent claims over original patent						1802	900	1802	900	Expedited Design	<u> </u>	
1205	18	2205	9		*Reissue claims in excess of 20 and over original patent						OTHER (indicate below):						
SUBTOTAL (2) \$45.00								0									
• or num	or number previously paid, if greater: For Reissues, see above							SUBTOTAL (3) \$65.00									
Name	Name Steven B Kelber								Registration No. 30,073								
Signat	ture		Hay		W_						Date 9/9/2003 Telephone 202-861-3900				202-861-3900		
Name Raymond Millien							<u> </u>	Re	gistratio	n No.	43,806						

DOCKET NO. EAX-0001-US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: John LICCIONE, et al. ART UNIT:

SERIAL NO.: New Application EXAMINER:

FILING DATE: Herewith

FOR: SYSTEM AND METHOD FOR APPLICATION MONITORING AND

AUTOMATIC DISASTER RECOVERY FOR HIGH-AVAILABILITY

LIST OF INVENTORS' NAMES AND ADDRESSES

ASSISTANT COMMISSIONER FOR PATENTS PO BOX 1450 ALEXANDRIA, VA 22313-1450

SIR:

Listed below are the names and addresses of the inventors for the above-identified patent application.

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A declaration containing all the necessary information will be submitted at a later date.

PIPER R

Respectfully submitted,

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